# SR STOCK BROKING PVT LTD Company Profile



#### **MEMBERSHIP DETAILS:**

**SEBI:** INZ000004531

National Stock Exchange of India Limited, NSE – (Segments – CM, FNO, COM & SLBM)

Member Code: 90048

Bombay Stock Exchange Limited, BSE – (Segments - CM, FO & CDS)

Member Code: 6655

National Securities Depository Limited, NSDL – (Depository Participants)

SEBI - IN-DP-726-2022



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# StockPi

## Who are we?



We at S R Stock Broking Pvt. Ltd began operations in 1999 and today we have become a premier financial services provider. Since the start of our journey, we have achieved several milestones making full use of latest technology and practices. With a dedicated and experienced team of professionals we have been able to create a strong base for ourselves and carve a steady future for all our investors. We cater to a varied clientele which includes Retail Clients, HNIs, Corporates and Day Traders.

Our clientele comprises a diverse range of individuals and organizations, including Retail Clients, HNIs, Corporates, and Day Traders. We specialize in catering to the needs of FPIs, Corporates, UHNIs, and HNIs. As a client-centric financial services provider, we offer a wide range of services, including full-fledged depository services and private Equity. Know More





## Our Mission:

Our mission is to provide ethical and transparent services to our clients, putting their growth and success at the forefront of everything we do. We believe in always putting our clients first and acting as their financial anchor, providing them with the tools and guidance they need to achieve their financial goals. Our commitment to transparency ensures that our clients have ful visibility into their investments and our processes. We are dedicated to achieving strong financial performance for our clients, but not at the expense of our values and principles. Ultimately, our mission is to empower our clients to take control of their financial futurewith confidence and peace of mind.



# Our Vision:

"We are dedicated to being an ethical and transparent financial anchor for our clients, always putting their growth and success first, prioritizing transparency and client-first practices to empower our clients to achieve their financial goals with confidence."



# Our company's values:

#### "The Financial Anchor you need"



### **Customer Centricity**

Bespoke solutions are studied, developed, carried through by a tailor-made approach aimed at supporting clients needs in their own business sphere while maintaining an opendialogue



#### **Excellence**

Perpetuate a
consistent alphagenerating research
house by tapping into
our team's specific
know-how and years of
portfolio management
experience



#### **Ambition**

Being ambitious for success; challenging ourselves, thinking beyond conventions strategies through extensive data driven Investing & Risk Management



#### **Integrity**

Providing customers
utmost reliability,
dynamism, flexibility
and maximum
transparency by
following consistent
philosophy & process
while focusing on long
term wealth creation



#### **Innovation**

Seek to devise specialised out-of-thebox solutions including use of algorithms and set the bar both within our workplace & throughout our industry



# Our Strengths

# ₹9,600 Cr

Annual Equity & Derivative trading volumes in FY 22-23 of handling experience by the Team

# Awards

- ❖ Top 5 in all over India in OTB Segment (BSE) FY-21-22
- Active Participation in Extended

Leadership team (BNI)

# 24+Years

Of Average Industry experience of the Team



# Equity, Derivative, SLBM, Commodity & Demat Services...

#### **Equity & FNO**

### **Equity & Equity Derivatives**- Future & Options

We offer Equity & Equity derivatives trading through NSE & BSE. Equity markets provides a range of diversified investment opportunities.

We also offer Clients with software for trading with their own experience.

#### **SLBM & Commodities**

#### **SLBM**

We also Provide, Securities Lending and Borrowing (SLB) through the offline route. To enable SLB or What is Securities Lending and Borrowing (SLB) and how to avail it? Feel free to Contact us and read blogs.

#### Crude, Gold & more...

We offer futures trading on NSE COM & much more.

#### **Demat Account Opening**

#### **Depository Services**

We are DP with NSDL. Thousands of investors have reposed their trust in us by making us the custodian of their shares.

#### **Share Pledging**

We also provide Margin pledge facility which enables you to receive collateral margin, which you receive after percentage deduction called a haircut.

Know More about our offerings...



# Trading terminal

**ODIN Client** 

Home | Support Center | Odin Downloads | ODIN Client





Embark on an unparalleled trading journey with our cutting-edge Trading Technology & Software solutions. Experience the power of ODIN Diet Trading Platform, designed to empower individual clients, and elevate your trading game with the exclusive ODIN Client, a dealer module that seamlessly connects multiple clients under one dynamic software. Join us for a revolutionary trading experience where innovation meets opportunity!



# Alternate Investment Fund

At S R Stock Broking Pvt Ltd, we are committed to providing our clients with a diverse range of investment opportunities. In addition to traditional stock market investments, we specialize in unlisted shares and private equity, helping our clients to create and invest in alternative assets. Our team of experts has a deep understanding of these investment options and can provide personalized guidance to help our clients navigate this complex market. We believe that by offering a variety of investment options, we can help our clients build a robust and diversified portfolio, tailored to their unique financial goals and risk tolerance.





# **Onboarding Process**









# Appointment of Relationship Manager

RM gets appointed to assist the client for providing information relating *S R Stock Broking* and further process.

#### **Documentation**

The RM helps the client throughout the documentation process and becomes the first point of contact with *Us.* 

# Simplified Trading & Investing

Allowing execution of trades in the easiest way possible!

## **Post Issue Formalities**

Assisting the client with daily updates, and their query.



# Our Team

#### Mr. Rajendra Kumar Sethia (Managing Director)

With over 30 years of experience in the finance industry, Mr. Rajendra Kumar Sethia is a seasoned professional in equity and derivatives market. He has successfully managed a vast client base of over 1500 clients, including body corporates, HNI, and institutional clients.

Mr. Piyush Kumar Sethia (CEO)

As a qualified Chartered Accountant and an active participant in the financial markets for over 15 years, Mr. Piyush possesses a keen eye for detail and a go-getter attitude. He has a strong acumen for equity markets and is driven to continually learn and stay updated on upcoming trends in the industry.

#### Mr. Suresh Kumar Chamaria (Compliance Officer)

Mr. Suresh Kumar Chamaria is a highly experienced Compliance Officer who is dedicated to upholding ethical codes of conduct and corporate governance at our company. His expertise helps us maintain compliance, minimize risk, and meet legal requirements.

#### Mr. Sipahi Yadav (Sr. Trading Manager)

Mr. Sipahi Yadav with over 10 years of experience in finance and Stock Market, possesses extensive skills in trading and investment. He has a wealth of experience in managing client relationships and resolving any issues that may arise.





### Contact Us:

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